

Welcome to

Wealth Discovery

Transforming Knowledge into Wealth.

Registration Kit (Wealth Discovery Securities Pvt. Ltd.)			
For : 🗌 Individ	ual 🗌 Body Corporate	□HUF	☐ Partnership Firm ☐ Others
Branch Code			
Branch Name			
Client Code			
Client ID			
Client Name			
RM Name			
Connectivity Mode			



WEALTH DISCOVERY SECURITIES PVT. LTD.

EXCHANGE	SEGMENT	MEMBER ID	SEBI REGN. NO.	
NSE	CM, F&O, CURRENCY	14357	INZ000199032	
BSE	CM, F&O, CURRENCY	T6435	INZ000199032	
MCX	COMMODITY	45170	INZ000199032	

DP: CDSL • DP ID: 12076400 • SEBI REGN. No. IN-DP-CDSL-679-2013

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg,

Connaught Place, New Delhi-110001 PH.: 011-43444666, Fax: 011-43444667

E-mail: mail@wealthdiscovery.in • Web: www.wealthdiscovery.in In case of any grievances please write to: investor@wealthdiscovery.in

Compliance Officer's Details

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E-mail ID : sachin@wealthdiscovery.in

Director's Details

Name : Rahul Agarwal Phone No. : 011-43444666

E-mail ID : rahul@wealthdiscovery.in

Clearing Member:

GLOBE CAPITAL MARKET LIMITED

NSE (Cash, F&O), BSE (Cash, F&O)

SEBI Regn. No.: INZ000177137, Clearing No.: NSE-M50302, BSE-3179

609, Ansal Bhawan, 16, Kasturba Gandhi Marg, New Delhi-110001 Tel.: 011-30412345

For any grievance/dispute please contact WEALTH DISCOVERY SECURITIES PVT. LTD. at the above address or email investor@wealthdiscovery.in and Phone No. +91-11-43444666. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd. (NSE)	ignse@nse.co.in	022-26589190, 18002660058
Bombay Stock Exchange Ltd. (BSE)	is@bseindia.com	022-22728097
Multi Commodity Exchange of India Ltd. (MCX)	grievance@mcxindia.com	022-67318888
Central Depository Services Ltd. (CDSL)	complaints@cdslindia.com	1800-200-5533

Filing of complaints on SEBI SCORES - Easy & Quick (https://www.scores.gov.in/scores/Welcome.html)

- a. Register on SCORES portal
- b. Mandatory details for filing complaints on SCORES: i.e. Name, Pan, Address, Mobile Number, Email ID
- c. Benefits:
 - i. Effective communication ii. Speedy redressal of the grievances



ANNEXURE - I ACCOUNT OPENING KIT

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No.	Name of the Document	Brief Significance of the Document	Page No.
I.	Account Opening Form	A. KYC Form - Document captures the basic information about the constituent and instruction/check list.	1-5
		B. FATCA & CRS Declaration	6-8
		C. Document captures the additional information about the constituent relevant to trading account and instruction/check list.	9-11
2.	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for trading on the stock/commodity exchange(s)	12
3.	Rights and Obligations	Document stating the Rights & Obligations of Stock Broker/ Commodity Broker/Depository Participant/Trading Member, Authorised Person and Client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Given to Client
4.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities/commodities market.	with Welcome Kit
5.	Guidance Note	Documents detailing do's and don'ts for trading on exchange, for the education of the investors.	Tut
6.	Policies and Procedures	Document describing significant policies and procedure of the Stock Broker / Commodity Broker.	

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

S.No.	Name of the Document	Brief Significance of the Document	Page No.
l.	Authorisation for Electronic Communication	For authorising the trading member to send Electronic Contract Notes.	13
2.	Running Account Authorisation	Helps the client to enjoy exposures linked to the credit in the trading account.	14
3.	Letter of Authority	Authorisation for smooth functioning of Trading A/c	15
4.	Request for Trading in Commodity Forward Contracts/Commodity Derivative on MCX	Request for Trading in Commodity Forward Contracts/ Commodity Derivative on MCX	16

I.	CDSL Form (Individual)	Where the client wishes to open a demat account with us as CDSL DP	17-30
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Note: You may also download KYC form & other formats from our website www.wealthdiscovery.in



CLIENT	'S OPTION FOR RECEIVING DOCU	IMENTS	
То,			EQUITY AND COMMODITY
Wealth Discovery Securities Pvt.	Ltd.		
Regd. & Corp. Office: 1206, Kailash Building Connaught Place, New Delhi-110001		Dated _	
Dear Sir/ Madam,			
I hereby opt to get the document listed b	elow in -		
Electronic Form Physical Fo	orm		
 Rights and Obligations of Stock Broker obligations in case of internet / wireless 	s, Authorised Person, Commodity Exchang stechnology based trading).	e and Clients	(including additional rights &
2. Risk Disclosure Document for Capital	Market, Derivatives & Commodity Derivat	ives Segment	S.
3. Guidance Note - Do's and Don'ts for T	rading on the Exchange(s) for Investors.		
4. Policies and Procedures Document pu	rsuant to the SEBI circular dated Decembe	r 03, 2009.	
5. Rights and Obligations of Beneficial Ov	vner and Depository Participant as prescrib	ed by SEBI 8	Depositories.
	of duly executed copy of KYC and all others for KYC submitted by me are true and compared to the submitted by the submitted b		as executed by me/us.
Yours faithfully,			
First / Sole Holder Signature	Second Joint Holder Signature		Joint Holder Signature

EQUITY AND COMMODITY

Dated

ACKNOWLEDGEMENT FROM CLIENT FOR RECEIPT OF PHYSICAL DOCUMENTS

Wealth Discovery Securities Pvt. Ltd.

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg,

Connaught Place, New Delhi-110001

Dear Sir/ Madam,

To,

I/We hereby acknowledge receipt of the following documents

- Rights and Obligations of Stock Brokers, Authorised Person, Commodity Exchange and Clients (including additional rights & obligations in case of internet / wireless technology based trading).
- 2. Risk Disclosure Document for Capital Market, Derivatives & Commodity Derivatives Segments.
- 3. Guidance Note Do's and Don'ts for Trading on the Exchange(s) for Investors.
- 4. Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009.
- 5. Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI & Depositories.
- I/We hereby acknowledge the receipt of duly executed copy of KYC and all other documents as executed by me/us. Further I confirmed that the documents for KYC submitted by me are true and correct.

Yours faithfully,

First / Sole Holder Signature	Second Joint Holder Signature (only for DP account)	Third Joint Holder Signature (only for DP account)
9 /	•	••

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

- 1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- 4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- 6. You will get a contract note from the stock broker within 24 hours of the trade.
- 7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- 8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email ld and mobile phone details with the stock broker always updated.
- 9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- 3. If any proof of identity or address is in a foreign language, then translation into English is required.
- 4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- 5. If correspondence & permanent address are different, then proofs for both have to be submitted.
- 6. Sole proprietor must make the application in his individual name & capacity.
- 7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- 8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository Participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark Sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- 11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.
- B Proof of Identity (POI): List of documents admissible as Proof of Identity:
- 1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- 2. PAN card with photograph.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.
- C. Proof of Address (POA): List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

- 1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
- 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
- Bank Account Statement/Passbook -- Not more than 3 months old
- 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers
 of Scheduled Commercial Banks/Scheduled Co-Operative
 Bank/Multinational Foreign Banks/Gazetted Officer/Notary
 public/Elected representatives to the Legislative
 Assembly/Parliament/Documents issued by any Govt. or
 Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
- 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- 1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- 2. Investors residing in the state of Sikkim.
- 3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- 4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
- 5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- 1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary Requirments
Corporate	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. Photograph, POI, POA, PAN of individual promoters holding control-either directly or indirectly. Copies of the Memorandum and Articles of Association and certificate of incorporation. Copy of the Board Resolution for investment in securities market. Authorised signatories list with specimen signatures.
Partnership Firm	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered partnership firms only). Copy of partnership deed. Authorised signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	 Copy of the balance sheets for the last 2 financial years (to be submitted every year). Certificate of registration (for registered trust only). Copy of Trust deed. List of trustees certified by managing trustees/CA. Photograph, POI, POA, PAN of Trustees.
HUF	 PAN of HUF. Deed of declaration of HUF/ List of coparceners. Bank pass-book/bank statement in the name of HUF. Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	 Proof of Existence/Constitution document. Resolution of the managing body & Power of Attorney granted to transact business on its behalf. Authorized signatories list with specimen signatures.
Banks/Institutional Investors	 Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	Copy of SEBI registration certificate. Authorized signatories list with specimen signatures.
Army Government Bodies	Self-certification on letterhead. Authorized signatories list with specimen signatures.
Registered Society	 Copy of Registration Certificate under Societies Registration Act. List of Managing Committee members. Committee resolution for persons authorised to act as authorised signatories with specimen signatures. T rue copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

ADDITIONAL INSTRUCTIONS/ CHECK LIST FOR DERIVATIVES

- 1. Additional documents in case of trading in derivatives segments illustrative list:
 - Copy of ITR Acknowledgement
 - Copy of Annual Accounts
 - In case of salary income Salary Slip, Copy of Form 16
 - Net worth certificate
 - · Copy of demat account holding statement.
 - Bank account statement for last 6 months
 - Any other relevant documents substantiating ownership of assets.
 - Self declaration with relevant supporting documents.
 - * In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.
- 2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
- 3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For Individuals

- Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/subbroker's office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For Non-Individuals

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

General Instructions:

- 1 Fields marked with '*' are mandatory fields.
- 2 Tick '✓ 'wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.
- 9 In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

A Clarification / Guidelines on filling 'Personal Details' section

- 1 Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

1 Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling 'Proof of Identity [Pol]' section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- 3 In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
01	Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions.
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section

- 1 PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3 In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

and andomoted to	ievant oode may be mentioned in point 4.1.
Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies. Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- 1 To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence / local addresses, Please fill 'Annexure A1'

F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-999999999).
- 2 Do not add '0' in the beginning of Mobile number.

G Clarification / Guidelines on filling 'Related Person details' section

1 Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details - Proof of Identity [Pol] of Related Person' section

1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

List of two - digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U. T.	Code
Andaman & Nicobar	AN
Andhra Pradesh	AP
Arunachal Pradesh	AR
Assam	AS
Bihar	BR
Chandigarh	CH
Chattisgarh	CG
Dadra and Nagar Haveli	DN
Daman & Diu	DD
Delhi	DL
Goa	GA
Gujarat	GJ
Haryana	HR

State / U. T.	Code
Himachal Pradesh	HP
Jammu & Kashmir	JK
Jharkhand	JH
Karnataka	KA
Kerala	KL
Lakshadweep	LD
Madhya Pradesh	MP
Maharashtra	MH
Manipur	MN
Meghalaya	ML
Mizoram	MZ
Nagaland	NL
Orissa	OR

State / U. T.	Code
Pondicherry	PY
Punjab	PB
Rajasthan	RJ
Sikkim	SK
Tamil Nadu	TN
Telangana	TS
Tripura	TR
Uttar Pradesh	UP
Uttarakhand	UA
West Bengal	WB
Other	XX

List of ISO 3166 two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Count Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miguelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GΩ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic	MK	Saudi Arabia	SA
Alidolla	AD	Lilitea	LIV	of	IVIK	Saudi Alabia	JA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	Al	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich	GS
sumum	DIT	danisia	GIVI	WEXICO	IVIX	Islands	03
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
selize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
			GT				SY
Sonaire, Sint Eustatius and Saba	BQ	Guatemala		Namibia	NA	Syrian Arab Republic	
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Souvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	10	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
anada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF		IQ	Palau	PW	Uganda	UG
ени агмитсан керивно		Iraq					
	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
u •	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
hristmas Island	CX	Italy	IT	Paraguay	PY	United States	US
ocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UN
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
omoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
ongo, the Democratic Republic of	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kenya Kiribati	KE	Oatar Oatar	QA	Viet Nam Virgin Islands, British	VN
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
		,				<u> </u>	
roatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da	SH	Zimbabwe	ZW
	DI	Lebanon	LB	Cunha Saint Kitts and Nevis	KN		
\		Lenanon	IR		KN		
Denmark Djibouti	DK DJ	Lesotho	LS	Saint Lucia	LC		

Wealth Discovery

Transforming Knowledge into Wealth.



CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with "" are mandatory fields.
- B) Tick (✓) wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the sections not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end.
- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked to accounts opened using OTP based E-KYC in non-face to face mode.



to be updated.											
For office use only	Application Type*	□ New □	Update								
(To be filled by financial institution	n) KYC Number			(Má	andatory	for KY0	C upda	te requ	uest)		
	Account Type*	☐ Normal ☐	Minor 🗌 Aa	dhaar OTP	based E	E-KYC (n non-	face to	face n	node)	
☐ 1. PERSONAL DETAIL	.S (Please refer instruction A a	t the end)									
	Prefix Fi	irst Name		liddle Name					Last Na	ame	
☐ Name* (Same as ID proof)											
Maiden Name (If any*)											
Father / Spouse Name*											
Mother Name*											
Date of Birth*	D D — M M — Y Y Y	YY									
Gender*	☐ M- Male	☐ F- Fem		-Transgend	ler					PHOT	0
Marital Status*	Married	Unmar		thers							
PAN*			0 furnished								
Citizenship*	☐ IN- Indian		(ISO 3166 Count	ry Code)						
Residential Status*	☐ Resident Individual☐ Foreign National		sident Indian of Indian Origin				A orono the		nla		
Occupation Type*	☐ S-Service (☐ Private		Sector Gove	rnment Sec	etor)				ŅII		
обобранот туро	☐ O-Others (☐ Profess		nployed \square Retire		,	Stude	ent)				
	☐ B-Business ☐ Agricul	Iturist	Categorised		ex Deale	r					
	Others (Pl. Specify)						_				
☐ 2. TICK IF APPLICABI	LE RESIDENCE FOR	TAX PURPOSES IN	URISDICTION(S	OUTSIDE	INDIA	(Please r	efer ins	truction	B at the	e end)	
ADDITIONAL DETAILS REC	QUIRED* (Mandatory only if se	ection 2 is ticked)									
Is entity a tax resident of any	country other then India	☐ Yes ☐ No									
If yes, please provide country			se & the associate	d tax id no.	below.						
ISO 3166 Country Code of Ju	urisdiction of Residence*										
Tax Identification Number or		liction)*									
Place / City of Birth*			Country Code of	Birth*							
☐ 3. PROOF OF IDENTIT	Y AND ADDRESS* (Please	refer instruction B at the	end)								
Certified copy of OVD or each	,		,	process nee	eds to be	e submit	ted (ar	vone o	of the fo	ollowina (DVDs)
☐ A- Passport Number	,						(,		3	/
☐ B- Voter ID Card											
☐ C- Driving Licence											
_											
☐ D- NREGA Job Card											
☐ E- National Populatio											
☐ F- Proof of possession											
II. E- KYC Authentication	1										
III. Offline verification of A	Aadhaar										
Address											
Line 1*											
Line 2											
Line 3					City / To	wn / Vill	-				
District*	Pin /	Post Code*	S	tate / U.T C	ode*		ISC	3166	Countr	y Code*	



	4.	CUR	REN	T AD	DRE	ESS	DE	TAI	ILS	(Plea	se i	refei	r ins	stru	ctio	n B	at th	he ei	nd)																								
	Sa	ıme as	abov	/e me	entio	nec	bs t	dres	ss (i	in s	uch	ca	ses	ac	ddre	ess	det	ails	s as	be	low	nee	ed n	ot to	o be	pr	ovic	ded))															
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		E- Na	ationa	l Pop	oulat	tion	Re	gist	ter L	_ett	ter																																	
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II. [E- KY	′C Au	then	ticati	ion						X	X	X	X	X	X	X	X																									
III. [Offline	e ver	ficati	on o	of Aa	adha	aar				X	X	X	X	X	X	X	X																									
IV.[Deem	ned P	roof	of A	ddre	ess	- Do	ocur	me	nt ty	/pe	Со	de																														
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CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Legal Entity / Other than Individuals

Important Instructions: A) Fields marked with '*' are mandatory fields. F) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end. B) Tick '✓' wherever applicable. G) List of two character ISO 3166 country codes is available at the end. H) Please read section wise detailed guidelines / instructions at the end. C) Please fill the date in DD-MM-YYYY format. I) For particular section update, please tick (\checkmark) in the box available before the D) Please fill the form in English and in BLOCK letters. section number and strike off the sections not required to be updated. E) KYC number of applicant is mandatory for update application. ☐ New ☐ Update Application Type* For office use only (To be filled by financial institution) **KYC Number** (Mandatory for KYC update request) 1. ENTITY DETAILS* (Please refer instruction A at the end) ■ Name* Entity Constitution Type* (Please refer instruction B a the end) Date of Incorporation / Formation* Date of Commencement of Business D D - M M Place of Incorporation / Formation' Country of Incorporation / Formation* TIN or Equivalent Issuing Country PAN* Form 60 furnished TIN / GST Registration Number 2. PROOF OF IDENTITY (Pol)* (Please refer instruction B at the end) Officially void document(s) in respect of person authorised to transact Certificate of Incorporation / Formation Registration Certificate Regn. Certificate No. Partnership Deed ☐ Trust Deed ■ Memorandum and Articles of Association ☐ Resolution of Board / Managing Committee Power or attorney granted to its manager, officers or employees to transact on its behalf ☐ Activity Proof - 1 (For Sole Proprietorship Only) Activity Proof - 2 (For Sole Proprietorship Only) 3. ADDRESS* (Please refer instruction C at the end) 3.2 Local Address in India (If different from Above)* Proof of Address' Certificate of Incorporation / Formation ☐ Registration Certificate Other Document Line 1* Line 2 Line 3 City / Town / Village* ISO 3166 Country Code* District Pin / Post Code³ State / U.T. Code* 3.1 Registered Office Address / Place of Business* Line 1* Line 2 Line 3 City / Town / Village* District Pin / Post Code* State / U.T. Code* ISO 3166 Country Code* 4. CONTACT DETAILS (All communication will be sent to Mobile number / E-mail ID provided may be used) (Please refer instruction D at the end) Tel. (Off) FAX Mobile Email ID Mobile Email ID ☐ 5. NUMBER OF RELATED PERSONS (Please refer instruction E at the end)



☐ 6 REMARKS (If any)	
7. APPLICANT DECLARATION (Please refer Instruction G at the end)	
 I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertak changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/we an I/we may be held liable for it. 	n/are aware that [Signature / Thumb Impression]
I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address	ss. Signature / Thumb Impression of Applicant
Date : D D — M M — Y Y Y Y P Place :	
8. ATTESTATION / FOR OFFICE USE ONLY	
Documents Received ☐ Certified Copy ☐ Equivalent e-document ☐ IPV Done	
KYC / IN-PERSON VERIFICATION CARRIED OUT BY	INSTITUTION DETAILS
Date Dom Myyyy Place Na	ame WEALTH DISCOVERY SECURITIES PVT. LTD.
Emp. Name	ode
Emp. Code	
Emp. Designation	
Emp. Branch	
[Employee Signature]	[Institution Stamp]



Details of Promoters / Partners / Karta / Trustees and Whole Time Directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Sr. No.	Name	Relationship with Applicant (i.e. promoters, whole time directors etc.)	PAN	Whether Politically Exposed	Residential / Registered Address	DIN of whole time directors / Aadhaar number of Promoters / Partners / Karta	Photograph
1.				☐ PEP☐ RPEP☐ NO		DIN:	
2.				☐ PEP☐ RPEP☐ NO		DIN:	
3.				☐ PEP☐ RPEP☐ NO		DIN:	
4.				☐ PEP☐ RPEP☐ NO		DIN: UID:	
5.				☐ PEP☐ RPEP☐ NO		DIN: UID:	
<u>√</u>	Name & Signature	e of the Authori	sed Signatory(ies)	PEP: Politically Exp	osed Person RPEP: Relate	d to Politically Exposed Person



		F	ATC	CA	& C	RS	De	ecla	arat	ion	- I I	ndi	vid	ual					
Please seek appropriate advice from your professional tax professional on your tax residency and related FATCA & CRS guidance																			
Name																			
Place of Birth																			
Country of Birth																			
Nationality																			
Constitute																			
Are you a tax resident of any country other than India - Yes No																			
If Yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below. S.No. Country# Tax Identification Number% Identification Type (TIN or other, please specify)																			
1.																			
3.																			
# To also include U % In case Tax Iden													alent	\$ \$					
									CATIC					*					
I/We have unde hereby confirm that I/We have re	nat the	e infoi	rmatio	on pro	ovide	d by r	ne/us	on th	is Fo	rm is	true,	corre	ct and	d com	plete	. I / W	le als	o con	firm
				FA	TCA	& CF	RS - '	TERI	MS &	COI	NDIT	IONS	6						
Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account of any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days. It is important that you respond to our request, even if you believe you have already supplied any previously requested information.																			
Date : S																			
Place :								L					Sic	ınatu	rΔ				



	ECURITIES PVT. LTD.	FATCA	& CRS Declaration	on - Non Individual
PAN Nan		Trading C	Code	DP Code
Pleas	se tick the applicable tax resident declaration -			
1. ls	s "Entity" a tax resident of any country other than Inc yes, please provide country/ies in which the		Yes No No ident for tax purposes and the associate	ed Tax ID number below.)
Sr. No.	Country		Tax Identification Number®	Identification Type (TIN or Other*, please specify)
1.				
2.				
3.				
	ase Tax Identification Number is not available, k se TIN or its functional equivalent is not available, p		·	y Identification Number or GIIN, etc.
In ca	se the Entity's Country of Incorporation / Tax res	dence is U.S. b	out Entity is not a Specified U.S. Person, m	ention Entity's exemption code here
PAR	T A (to be filled by Financial Institutions or Direct Reporti	ng NFEs)		
1.	We are a, Financial institution (Refer 1 of Part C) or Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)		o not have a GIIN but you are sponsored by a nd indicate your sponsor's name below a nsoring entity	nother entity, please provide your sponsor's
	GIIN not available (please tick as applicable)	Applied Not requ	d for Not obtained – Not uired to apply for - please specify 2 digits sub-	
PAR	T B (please fill any one as appropriate "to be filled by NF	Es other than Dire	ct Reporting NFEs")	
1.	Is the Entity a publicly traded company (that is, a ownose shares are regularly traded on an establish securities market) (Refer 2a of Part C)		Yes (If yes, please specify any one sto Name of stock exchange	ck exchange on which the stock is regularly traded)
2.	Is the Entity a related entity of a publicly traded co (a company whose shares are regularly traded on established securities market) (Refer 2b of Part C)	an	Name of listed company	ne Listed Company or Controlled by a Listed Company
3.	Is the Entity an active NFE (Refer 2c of Part C)		Yes Nature of Business Please specify the sub-category of Active NF	E (Mention code – refer 2c of Part C)
4.	Is the Entity a passiveNFE (Refer 3(ii) of Part C)		Yes Nature of Business	()
	UBO Declaration (Mandatory for all	entities excer		ted entity of Publicly Traded Company)
Cato	gory (Please tick applicable category):		Company Partnership Firm	Limited Liability Partnership Company
	Unincorporated association / body of individuals		haritable Trust Religious Trust	Private Trust
	,	i ubiic Ci	namasie must neligious must	ו ווימנים וועסנ
contr	Others (please specify	ssary)	, , , , ,	



Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN #			
Address			
7100.000			
	7:_	7:.,	7:-
	Zip	Zip	Zip State:
	State:	State: Country:	State: Country:
Address Type	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office	☐ Residence ☐ Business ☐ Registered office
Tax ID %			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%) \$			
Protector of Trust to be specified wherever ap * In case Tax Identification Number is not avail	nclosed. Else PAN or any other valid identity pro pplicable. lable, kindly provide functional equivalent ding pattern duly self attested by Authorized Sig		rector / Settlor of Trust /
Li		ARATION	- Carra (read along with CATOA 0
CRS instructions) and hereby co- confirm to inform Wealth Discover	nfirm that the information provided ry Securities Pvt. Ltd. for any modific	Ferms & Conditions mentioned in this by me on this Form is true, correct a cation to this information promptly. ments inter alia provisions of FATCA	and complete. I hereby agree and
Name			
Designation			
Client Signature		Date : D	MMYYYY
		collecting this mandatory informational already an investor or would become	
Please submit the form fully filled branch or you can dispatch the ha		ately, and submit at your nearest We	alth Discovery Securities Pvt. Ltd.
Wealth Discovery Securities Pv 1206, Kailash Building, K.G. Ma	rt. Ltd. ırg, Connaught Place, New Delhi-	110001	
For Detail Terms & Conditions	s please visit www.wealthdiscove	ery.in	

ANNEXURE - 3



TRADING ACCOUNT RELATED DETAILS FOR INDIVIDUAL & NON-INDIVIDUAL

A. BANK ACC	TNUC	(S) DE	TAILS (Thr	ough which transact	ions sha	ll general	ly be	route	ed)								
Bank N	ame		Brand	ch Address	Ac	count	Nun	nbe	r	Acco	ount Type	MIC	R N	umber	IFS	C Co	de
											aving Current						
											Others - se of NRI /						
										NRE	/ NRO						
B. DEPOSITOR	RY AC	COUN	T(S) DETA	ILS (Through whi	ch trans	actions sl	nall ge	enera	lly be	e rout	ed)						
Depository Parti Name	cipant	Name o	of Depositor	Beneficiary N	lame			DP	ID			Ве	nefic	iary II) (BC) ID)
			DL 🗌 CDSL														
		☐ NSI										\perp			\perp	_	Ш
			DL CDSL			Щ				Ш		_			_		Ш
C. TRADING P	REFE	RENCE	S														
*Please sign in	the re	levant l	ooxes whe	ere you wish to	trade.	Please	stril	ke o	ff th	ne se	gment n	ot ch	oser	ı by y	ou.		
Exchanges	NS	E & BS	SE											мсх			
All Segments	Segments Cash F&O Currency Commodity Derivatives													s			
														- 1			on ed
	1			•		® ⁄					10 /						
If you do not wish	to trad	de in an	y of segme	nts / Mutual Fund,	please	e mentic	n he	re _								, . , .	
D. OTHER DE	TAILS	5															
Gross Annual (please speci		e Detai		elow₹ I Lac I0 Lac to 25 Lac] ₹ I L:] ₹ 25 I				re	□ ₹ □ >						
☐ Net Worth (as on (date)		_		pe older than I	. ,							•••••	•••••	•••••	•••••	•••••	•••••
Occupation (p	lease	tick any	:	rivate Sector	Public	Sector		Gov	erni	ment	Service				_		ional pecify
Promoters/Pa	Please tick, if applicable (Note: In case of Non-individuals please tick, if applicable for any of your authorised signatories/ Promoters/Partners/Karta/Trustees/whole time directors): Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP) Not a Politically Exposed Person (PEP) Not Related to Politically Exposed Person (RPEP)																
☐ Any other in	forma	tion	:														



E. DEALING THROUGH AUTHORISED PERSON AND OTHER STOCK BROKERS If client is dealing through the Authorised Person, provide the following details: Name of the Authorised Person Authorised Person SEBI Regn. No. :..... Authorised Person's Address Authorised Person's Phone/Fax No. :. Authorised Person's Website Whether dealing with any other stock broker / Authorised Person (In case dealing with multiple stock brokers / Authorised Person, please provide details of all) Name of the stock broker Name of Authorised Person (If any) :..... Client Code (UCC) Exchange Details of disputes / dues pending from / to such stock broker / Authorised Person (Please Specify) F. PAST ACTIONS Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: **G. ADDITIONAL DETAILS** Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify): Specify your Email id, if applicable: Whether you wish to avail of the facility of internet trading/ wireless technology (please specify): Number of years of Investment/Trading Experience: 0 1-3 3-5 5-10 H. GST DETAILS (As applicable, Statewise) Legal Name Trade Name **GSTIN** Registration Date Name of the State State Code Other State GSTIN Registration Date Name of the State State Code



I. CATEGORIZATION IN COMMODITIES DERIVATIVES SEGMENTS

Categories		Pro	duct Types		
Farmer / FPOs	Bullions	Base Metal	Energy	Agri Commodities	All
Value Chain Participants (VCPs)	Bullions	Base Metal	Energy	Agri Commodities	All
Foreign Participant	Bullions	Base Metal	Energy	Agri Commodities	All
Domestic Financial Institutional Investor	Bullions	Base Metal	Energy	Agri Commodities	All
Proprietory Traders	Bullions	Base Metal	Energy	Agri Commodities	All
Other (Specify					
J. INTRODUCER DETAILS					
Name of the Introducer :	(Surname)		(Name)	(Middle Nar	
6	` /	•	,	`	,
Status of the Introducer :			`		
Address and Ph. No. of the					
Sub-buokawa Nama		•			
Sub-broker's Name: SEBI Registration number					
SEDI Registi ation number	• • • • • • • • • • • • • • • • • • • •	DECLARAT		••••••	
I. I/We hereby declare tha	t the details furnis			the best of my/our know	ylodgo and boliof
and I/we undertake to in be false or untrue or mis	form you of any ch	nanges therein, im	nmediately. In case	any of the above inform	nation is found to
 I/We confirm having rea 		-		•	
the stock broker and the	tariff sheet.				•
I/We further confirm ha Disclosure Document'.					
have also been informe					
designated website www	w.wealthdiscovery	.in			
Place		8/	,		
Date		<u>v</u>			
				Signature of Client	
FOR OFFICE USE ONLY		UCC Co	ode allotted to the 0	Client	
Name of the Employee Employee Code Designation of the Employee Date	nents verified with	Originals C	lient Interviewed B	By In-Person Vel	rification done by
Signature					



DISCLOSURE OF PROPRIETARY TRADING BY WDSPL

Dear Client,

In term of SEBI circular No. SEBI/MRD/SEC/Cir-42/2003 dated 19th November, 2003, member is required to disclose to its client about the proprietary trades.

In view of this circular, we wish to inform you that, we do proprietary trades in the Cash, F&O and Currency Derivatives Segment of NSE & BSE and Commodity Derivative Segment of MCX.

Kindly take note of the above & oblige.

ole / First Applicant	Signature				Date :_	
учет постирущими		PMLA - D	ECLARATIO	ON		
	1				:/ \A/DCDI	6 11 1
we read and under	having the stood the contents and t	_	de no			confirm and declare the
	re that I shall adhere to the	•				' '
			J	•		,
	<u> </u>			Risk Catego	ry : Low	Medium H
ole / First Applicant						
	BI		E STRUCT			
		EQUITY	SEGMENT	Γ		
Brokerage Slab			Slat	o in %	Mir	nimum per Share
Delivery Based	Each Side Si	ngle Side				
Square Off	Each Side Si	ngle Side				
		F&O	SEGMENT		·	
Brokerage Slab			Slat	o in %	Minim	num per Share / Lot
Future	Each Side Si	ngle Side				
Options	Each Side Si	ngle Side				
	CURR	ENCY DER	IVATIVES S	SEGMENT		
Brokerage Slab			Slat	o in %	Minimu	m Brokerage per Lot
Future	Each Side Si	ngle Side				
Options	Each Side Si	ngle Side				
	СОММ	ODITY DE	RIVATIVES	SEGMENT	•	
	FUTI					IONS
	Percentage	Min. Pais	a / Per Lot	Perce	ntage	Min. Paisa / Per Lot
Square off same day						
Carry Forward						
Delivery ote:						
Transaction & Cleatime to time shall I Late payment penal In case an internet turn over shall be	aring Charges, Stamp dut be charged separately in alty @18% p.a. calculate trading terminal is provi charged separately. tandards are subject to re	addition to to do	the brokerage verdue balan- tivity charges	e. ce shall be ch s @Rs	narged till ac /- pe	tual realisation. er month or%

(12)

Wealth Discovery Securities Pvt. Ltd.



ELECTRONIC CONTRACT NOTE (ECN) DECLARATION

Appendix-A

To,

Re	ember: NSE, BSE, MSEI & MCX egd. & Corp. Office: 1206, Kailash Building, K.G. ennaught Place, New Delhi-110001	Date
De	ear Sir,	
	Ve TD. of NSE, BSE & MCX Exchange underta	_ a client with member M/S. WEALTH DISCOVERY SECURITIES PVT. tes as follows:
•	I/We am/are aware that the member has to unless I/We myself want the same in the ele	provide physical contract note in respect of all the trades placed by me/us tronic form.
•	I/We am/are aware that the member has to only.	provide electronic contract note for my/our convenience on my/our request
•		ohysical contract note, I/We find that it is inconvenient for me/us to receive am/are voluntarily requesting for delivery of electronic contract note red by me/us.
•	I/We have access to a computer and am/a operation.	e a regular internet user, having sufficient knowledge of handling the email
•	My/our email id is*	

- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/We am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

*(The email id must be written in own handwriting of the client)

This has been created by me/us and not by someone else.

Client Signature	_
Client Name:	
Unique Client Code :	
PAN:	
Address:	



RUNNING ACCOUNT AUTHORISATION

Rego	alth Discovery Securities Pvt. Ltd. d. & Corp. Office: 1206, Kailash Building, K.G. Marg, naught Place, New Delhi-110001
	Sub : Running Account Authorisation
	e are dealing through you as a client in Capital Market / Future & Option Segment / Currency Segment / Commodity ivative Segment in order to facilitate ease of operations and upfront requirement of margin for trade.
I/We	e authorize you as under:
1.	I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing Corporation unless I/We instruct you otherwise.
2.	I/We request you to settlement of my fund
	Once in every 90 days Once in every 30 days
	or such other higher period as allowed by SEBI / Exchange time to time except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.
3.	In case I/We have an outstanding obligation on the settlement date, you may retain the requisite funds towards such obligations and may also retain the fund expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchange.
4.	I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 30 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
	Running account authorization provided by me shall continue and remain valid until it is revoked by me by giving a notice riting. I/We am/are entitled to revoke this authorization at any time without any notice.
® ∕_	



Date :____

LETTER OF AUTHORITY

То

Wealth Discovery Securities Pvt. Ltd.

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg,

Connaught Place, New Delhi-110001

Sub: Letter of Authority

I/We am/are dealing in shares/securities/commodities with you in various exchange segments and in order to facilitate ease of operations. We authorize you as under :

I. Delivery of order/trade confirmation/cancellation:

I/We hereby authorize you not to provide me / us order confirmation / Modification / Cancellation Slips and Trade Confirmation slips to avoid unnecessary paper work. I/we shall get the required details from contract notes and confirmation issued by you.

2. Telephonic Conversation:

I/We request you to consider my/our telephonic instructions for order placing/order modification/order cancellation as a written instruction and give us all the confirmation on telephone unless instructed otherwise in writing. I/We am/are getting required details from contracts issued by you.

3. Set off of outstanding:

I/We authorize you to set off outstanding in any of my / our accounts against credits available or arising in any other accounts maintained with you irrespective of the fact that such credits in the accounts may pertain to transactions in any segment of the Exchange and / or against the value of cash margin or collateral shares provided to you by me / us. I further authorise you to debit the financial charges @ 18% per annum of the outstanding debit balance, if any, in my account and not settled as per the exchange requirement.

4. Pledge Authorisation:

I/We hereby authorise you to pledge/deposit my/our securities whether deposited as collateral/margin or permitted by us to be retained in the running account etc. by you with any person(s) including but not limited to anyone or more bank(s) and/or stock exchange(s) and/or clearing corporation(s) /clearing house(s) or any other person. You may at your absolute discretion raise funds, obtain bank guarantee(s) and FDRs etc, against such deposit' pledge. I/ we authorise you to do in my/our name and on my/our behalf all such acts, deeds and things as may be necessary and expedient for placing pledging / depositing my/our securities with a person of your choice. If for any reason the pledgee sells I liquidates these securities Uwe shall not dispute or contest the acts of pledgee in any manner what so ever. Please treat this authorization as written ratification of my /our verbal directions/authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

5. Charges & Balance Maintenance:

I/We have a Trading As well as depository relationship with WEALTH DISCOVERY SECURITIES PVT. LTD. Please debit the charges relevant with depository services from my/our trading account on monthly basis. I/We also agree to maintain the adequate balance in my/our trading account / pay adequate advance fee for the said reason.

6. Authority for intimation

I/ we shall authorise you to send SMS and email to registered email/ mobile no. in respect of my Trading and Demat account.

For and On Behalf of Constituent

Thanking you,

Yours faithfully





REQUEST FOR TRADING IN COMMODITY FORWARD CONTRAC	CTS / COMMODITY DERIVATIVES ON MCX
To, Wealth Discovery Securities Pvt. Ltd. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	Date :
Dear Sir,	
Subject: My / Our request for trading in commodity forward co	ontracts / commodity derivatives on MCX as
I/We, the undersigned, have taken cognizance of circular no. MCX/33: Commodity Exchange of India Ltd. (MCX) on the guidelines for ca commodity and I/we hereby undertake to comply with the same.	
I/We hereby declare and undertake that we will not exceed the position MCX or Forward Markets Commission and such position limites will be estated circular of MCX as modified from time to time.	
I/We undertake to inform you and keep you informed if any of our partner firms/companies/HUF's/Trusts in which I or any of above such person is a position in any commodity forward contract/commodity derivative on N of MCX to enable you to restrict our position limit as prescribed by the time to time.	a partner/ director/karta/trustee, takes or holds any MCX through you or through any other member(s)
I/We confirm that you have agreed to enter orders in commodity forw your clients on MCX only on the basis of our above assurances and under	•
Yours faithfully	
®	
Sole Proprietor/Partner/Director/Karta/Trustee	

Wealth Discovery

Transforming Knowledge into Wealth.

CDSL



ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT - INDIVIDUAL & NON-INDIVIDUAL (To be filled by the Depository Participant) Application No. Date M DP Internal Reference No. 2 DP ID Client ID (To be filled by the applicant in **BLOCK LETTERS** in English) I/We request you to open a demat account in my/our name as per following details: **HOLDERS DETAILS** PAN Sole/First Holder's Name UID National Stock Exchange of India Ltd. (14357) UCC Exchange: Bombay Stock Exchange Ltd. (T6435) PAN Second Holder's Name UID PAN Third Holder's Name UID Search Name Name* * In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above: TYPE OF ACCOUNT (Please tick whichever is applicable) Individual Resident Individual Director Individual Director's Relative Individual Individual HUF / AOP Individual Promoter Minor Others (Specify)_ Individual Margin Trading A/c (Mantra) NRI NRI Repatriable NRI Non-Repatriable NRI Repatriable Promoter NRI Non-Repatriable Promoter NRI - Depository Receipts Others (Specify) Foreign National-Depository Receipts Foreign National Others (Specify) Foreign National Sub-Status **Status** (To be filled by the DP) Body Corporate Banks Trust Mutual Fund □ OCB FII CM FI Clearing House Other (Specify) SEBI Registration No. M SEBI Registration Date D D M (if applicable) RBI Registration No. **RBI** Approval Date M M (if applicable) ROC Registration No. **ROC** Registration Date D M M (if applicable)

Others (specify)

Nationality

Indian



OTHER DETAILS								
Gross Annual Income Details: ☐ Below ₹ I Lac ☐ ₹ I Lac to 5 Lac ☐ ₹ 5 Lac to 10 Lac (please specify) ☐ ₹ 10 Lac to 25 Lac ☐ ₹ 25 Lac to I Crore ☐ > ₹ 1 Crore								
Net Worth (Net worth should not be older than I year) Amount Rs								
as on (date) DD MM YYYY								
Occupation : Private Sector Public Sector Government Service Business Professional								
(please tick any one and give brief details) Agriculturist Retired Housewife Student OthersPlease Specify								
Please tick, if applicable. Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP) Related to Politically Exposed Person (RPEP) Any other information:								
DETAILS OF GUARDIAN (in case the account holder is minor)								
Guardian's Name PAN								
Relationship with the applicant								
I/We instruct the DP to receive each and every credit in my/our account [Automatic Credit]								
(if not marked, the default option would be 'Yes') Yes No								
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end. (If not marked, the default option would be 'No')								
Account Statement Requirement As per SEBI Regulation Daily Weekly Fortnightly Monthly								
I/We request you to send Electronic Transaction-cum-Holding Statement at the email Id Yes _ No _								
I/We would like to share the email ID with the RTA Yes No								
I/We would like to receive the Annual Report Physical Electronic Both Physical								
(Tick the applicable box. If not market the default option would be in Physical) & Electronic								
I/We wish to receive dividend/interest directly in to my bank account as given below through ECS. (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]								
☐ I wish to opt BSDA Account. ☐ I do not wish to opt BSDA Account								
CAS Mode : Physical CAS Required CAS not Required								
BANK DETAILS (Dividend Bank Details)								
Bank Code (9 digit MICR Code)								
IFS Code (11 Character)								
Account Number								
Account Type Saving Current Others (specify)								
Bank Name								
Branch Name								
Bank Branch Address								
City State Country PIN								

- $Photocopy\ of\ the\ cancelled\ cheque\ having\ the\ name\ of\ the\ account\ holder\ where\ the\ cheque\ books\ is\ issued,\ (or)$
- Photocopy of the Bank Statement having name and address of the BO.
- (i) (ii) (iii) Photocopy of the Passbook having name and address of the BO, (or)
- Letter from the Bank.
 - In case of option (ii), (iii) and (iv) above, MICR Code of the branch should be present/mentioned on the document.



SMS Alert Facility Refer to Terms & Conditions given as Annexure 2.4	[Manda	latory, if you are giving Power of Attorney (POA)] A is not granted & you do not wish to avail of this facility, cancel this option).							
Easi To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.									
I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening									
I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.									
MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)									
Jointly		Anyone of the Holder or Survivor							
Consent for Communication to	be receiv	ed by first Account Holder : (Tick the applicable b	oox. If not marked the defa	ult option would b	e first holder.				
First Holder		Email Id :							
All Joint Account Hold	er	Email ld :							
CLEARING MEMBER DI	TAILS ((To be filled by CMs only)							
Name of Stock Exchange									
Name of CC / CH									
Clearing Member ID	Clearing Member ID Trading Member ID								
	DECLARATION								
/We have received and read the Rights and Obligations document of BO-DP (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of									

my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

SIGNATURE'S OF HOLDER / DIRECTOR / AUTHORISED SIGNATORIES (Enclosed a Board Resolution for Authorised Signatories)

Holder	Name	Designation	Signature(s)
Sole / First Holder / All Joint Account Holder / Authorised Signatory			•
Other Holders			
Second Holder			•
Third Holder			•••

(Signatures should be preferably in blue ink)

(In case of more authorised signatories, please add annexure)



NOMINATION FORM

[Annexure A to SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

UCC

DP	ID 1	2	0	7	6	4	0	0	Client ID									
	-	rish to details					ition	•			•		Nominat	ion Regis	tration	No.	Date	d
N	OMIN	IATIO	NI	DE	ΤΑΙ	LS												
	wish to e event					n and	d do	here	by nomin	ate the fo	ollo	wing per	son(s) who	o shall rec	eive all t	he assets h	eld in my/o	ur account
Nor thre	mination e nomin	can be n ees in th	nade e acc	upt coun	o nt		D	etail	s of 1st No	ominee		De	etails of 2nd	d Nominee		Details	of 3rd Nom	inee
I.	Name of Mr./Ms.		mine	ee(s))													
2.	Share o		[If		ly equall specif						%				%			%
Щ					itage]	,		An	y odd lot a	after divisi	on s	shall be tr	ansferred t	o the first r	nominee i	mentioned ii	n the form.	
3.		nship w int (if an		he														
4.	Addres		mine	ee(s)													
	City / Pl																	
	State / C	Country																
			Р	IN C	Code													
5.	Mobile, of Non	-	one	No.														
6.	Email I	D of no	min	ee(s	()													
7.	-			- 1														
Sr.	Nos. 8-	14 sho	uld I	be f	illed	only	if no	min	ee(s) is a	minor:								
8.	Date of minor n	•		se of	f													
9.	Name of the last o	f Guardi of mino	•		,	}												
10.	Address City / P State / G			•														
			P	NI'	Code	•												



11.	Mobile/Telephone No. of Guardian			
12.	Email ID of nominee(s)			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification detail [Please tick any one of follow and provide details of same] Photograph & Signatu PAN AADHAAR Saving Bank A/c No. Proof of Identity Demat Account ID	ing re		
Н	older	Name	Signature	
Sol	e / First Holder /		6 (

Holder	Name	Signature
Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)		•
Second Holder		•
Third Holder		⇔

^{*}Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

Note:

This Nomination shall supersede any prior nomination made by the account holder(s), if any.

The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).



DECL	ARATION FORM F	OR OPTING OUT	OF NOMINA	ATION					
To, Wealth Discovery Securit Regd. & Corp. Office: 1206, Kailash I Connaught Place, New Delhi-110001									
ucc									
DP ID									
Client ID (Only for Demat Account)									
Sole/First Holder Name	l	l l			1	1			
Second Holder Name									
Third Holder Name									
I/We hereby confirm that I/We the issues involved in non-appoint my / our legal heirs would need to trading / demat account, which not the value of assets held in the trading.	tment of nominee(s) a to submit all the requi nay also include docur	nd further are aware isite documents / info	that in case or ormation for	of death of al claiming of	I the accour assets held	nt holder(s), in my / our			
			1	•					
First/Sole Holder Signature	Sec	ond Holder Signature	•••••	Thire	d Holder Signa	ture			
To, Wealth Discovery Securit Regd. & Corp. Office : 1206, Kailash I Connaught Place, New Delhi-110001	Building, K.G. Marg,	T RECEIVING IN E		ted					
Re: Beneficial Owner(BO) Accou	ınt No		() 6 l 5			DD 00			
I/We_agreement with you. I/we confire electronic mode in lieu of physical		eceive the statement	e(s) of the E t of accounts						
I/We confirm that the dispatch of absolute discharge of your obligate. But, I/we reserve my/our right to mode, if such a demand is made in	tion under the above a receive the physical co	agreement to provide	e me/us with	statement (of my/our B	O account.			
E-mail address :									
I/we confirm that any change in my/our statement of account or acknowledged delivery.		•		_					
Yours faithfully									
•	•			•					
First/Sole Holder Signature	Sec	ond Holder Signature		Third	d Holder Signa	ture			



Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

(SMS Alerts will be sent by CDSL to BOs for all debits)

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- I. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 40000 I and all its branch offices and includes its successors and assigns.
- 2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
- 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time
- SMS means "Short Messaging Service"
- 5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

- I. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
- The service is currently available to the BOs who are residing in India.
- The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
- In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration/modification.
- 5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

- I. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
- The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off'' mode i.e. unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
- The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
- 4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
- 5. The BO authorises the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
- 6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorised transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorised debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
- 7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
- The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
- If the BO finds that the information such as mobile number etc., has been changed with out proper authorisation, the BO should immediately inform the DP in writing.



Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of Registration / modification (Please cancel out what is not applicable).

BOID	I	2	0	7	6	4	0	0									
		(Pl	ease v	vrite y	our	8 digit	DPIE	D)		(Plea	se wri	te yc	our 8 d	digit C	lient	ID)
Sole / First Holder's Name :				Se	cond H	Holder's	Name_				_Thire	d Holde	r's Nar	me			
Mobile Number on which message are to be sent	+	91															
		(PI	ease v	vrite (only (ONE v	⁄alid e	mail	ID on	which	com	munica	ations	s; if an	y, is to	be s	ent)
The mobile number is regis	tered	in the	name	of :													
Email ID :																	
	(P	lease v	write o	only O	NE v	alid em	ail ID	on w	hich co	mmuni	catio	ns; if a	ny, is t	to be s	ent)		
First/Sole Holder Signa	iture	•••••		••••••	Seco	ond Ho	lder S	ignatı	ıre	•••	••	٦	hird	Holde	r Signa	ture	•••••
Place :												Dat	e: _		/	/20	





Wealth Discovery Securities Pvt. Ltd.

SEBI REGN. NO.: IN-DP-CDSL-679-2013 • DP ID 12076400

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001

Ph.: 011-43444666, Fax: 011-43444667

E-mail: mail@wealthdiscovery.in • Web: www.wealthdiscovery.in

SCHEDULE OF CHARGES FOR DEPOSITORY SERVICES (w.e.f. 1-09-2024)

	PARTICULARS		NORMAL SCHEME		BSDA	V.	
1.	A/c Opening Charges		Nil	Nil			
2.	2. Annual Maintenance Charge		Rs. 300/- p.a. Rs. 1450/- (Lifetime) (For Individuals) Rs. 800/- p.a. (For Corporates)	In case value of holding is up to ₹ 4 lakhs NIL	In case va of holding more tha ₹ 4 lakh but up t ₹ 10 lakh	g is an is o	In case value of holding is more than ₹ 10 lakhs As applicable in Normal Scheme as opted by the Client
3.	3. Advance Payment		Rs. 200/- (adjustable against billing)	Nil			
4.	Transaction Charges	Debit	Rs. 20/- per Instruction*	Rs. 20/- per Ins		nstruction*	
		Credit	Rs. 20/- per Instruction*	Rs.	20/- per Ins	structi	on*
5.	Dematerialisation Charges (L	Jpfront)	Rs. 2/- per certificate + courier charges**	Rs. 2/- per certificate + courier charges**			er charges**
6.	6. Rematerialisation Charges (Upfront)		Rs. 15/- per request + Rs. 30/- courier charges***	Rs. 15/- per request + Rs. 30/- courier charges***			urier charges***
7.	7. Pledge (Creation / Closure / Invocation)		Rs. 50/- per instruction	Rs. 50/- per instruction			
8.	8. Instruction Book			1st Booklet (5 leafs) Free			
			Rs. 1/- per leaf	Subsequent Bo (20 leafs each)		Rs. 3	0/- per book

^{*}For instruction above value of ₹3 Lac. Additional charges @ 0.005% of the value over and above ₹3 Lac will be charged subject to a maximum of ₹100/- per instruction.

Notes:

- All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transactions. 1.
- 2. The Depository participant shall reserve the right to revise the charged by giving not less than 30 days notice in writing to the client.
- 3. Value of Transaction will accordance with the Depository formulae.
- 4. Modification in client detail ₹25/- per instance.
- Any service not listed above will be charged extra. 5.
- 6. Rejection charges on failure of delivery instruction ₹15/- per instruction.
- Off-Market Instructions not received prior to 24 hours of execution will be received at client's risk. However no extra charges will be levied. 7.
- Instructions for pay-in of securities to transfer securities from client account to CM pool account will be accepted up to 4 PM on the working day prior to pay-in-date.
- Non-periodic statement shall be charged @ ₹5/- per page and postage/courier @ ₹30/- per mail. 9.
- In case of non-payment of bill/dues within 30 days of due date, interest shall be charged @ 13% per year on the outstanding dues.

(The above is subject to taxes and duties as applicable as per Government Rule)

Note. In case value of securities exceeds ₹ 10 lakhs the BSDA will automatically converted into normal demat account and normal charges as

per prevailing scheme opted by the client.	•	3
Scheme Opted: NORMAL BSDA		
•	•	•
First/Sole Holder Signature	Second Holder Signature	Third Holder Signature

For Wealth Discovery Securities Pvt. Ltd.

^{***} A fee of ₹15 for every hundred securities or part thereof or a flat fee ₹15 per certificate which ever is higher.



DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

Wealth Discovery Securities Pvt. Ltd. DP ID: 12076400

BO ID :	Dated :
Trading Code :	

S. No.	PURPOSE	Signature
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange	
3	Mutual Fund Transactions being executed on Stock Exchange order entry platforms	
4	Tendering shares in open offers through Stock Exchange platforms	

S.No.	Name of the Account Holder	CDSL/NSDL Demat A/c No.	CM BP-ID	DP Name
ı	CDSL NSE CM POOL/PAYIN	1207640000000067		Wealth Discovery Securities Pvt. Ltd.
2	BSE CM POOL	1207640000000071		Wealth Discovery Securities Pvt. Ltd.
3	NSE EARLY PAYIN	1100001100019207	M51871	Wealth Discovery Securities Pvt. Ltd.
4	BSE EARLY PAYIN	1100001000023895	6435	Wealth Discovery Securities Pvt. Ltd.
5	MARGIN PLEDGE ACCOUNT	IN30096610577012	IN518717	Wealth Discovery Securities Pvt. Ltd.
6	MARGIN PLEDGE ACCOUNT	1207640000042758		Wealth Discovery Securities Pvt. Ltd.

^{*}the same may be signed physically against each purpose of DDPI. The same may also be eSigned. In case of eSign, client shall be given an option for choosing the specific purpose(s) of DDPI.

Holder	Name	Signature
Sole / First Holder		2
Second Holder		•
Third Holder		••



OPTION FORM FOR ISSUE OF DIS BOOKLET DP ID 2 7 6 4 0 1 0 0 Client ID First Holder Name Second Holder Name Third Holder Name To, Date M M Wealth Discovery Securities Pvt. Ltd. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001 Dear Sir / Madam, I / We hereby state that: [Select one of the options given below] **OPTION 1:** I / We request you to issue Delivery Instruction Slip (DIS) booklet to me / us on opening my / our CDSL account through I / We have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. OR **OPTION 2:** I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a Power of Attorney (POA) / executed PMS (name of attorney / Clearing Member / PMS manager) agreement in favour of / with for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later day. Yours faithfully First / Sole Holder **Second Joint Holder Third Joint Holder** Name Signatures



DECLARATION FOR COMMON MOBILE NUMBER AND EMAIL ID FOR DEMAT AND TRADING ACCOUNT

Regd. & C	Discovery Securities Pvt. Lt Corp. Office: 1206, Kailash Building, K.G. Int Place, New Delhi-110001	Date :	Date :				
Subject	: Common Mobile Number/Ema	il Id for Demat and	Trading Accoun	ts			
Dear Sir,	,						
	d	would like to confirm that the Mobile Numberis registered in my name. I am enclosing self					
and/or dois/are my their according belonging	nderstanding from you that my mob emat accounts with you. I have to stat y relatives/ to state my mobile numb counts are not only done as per their of to them which are sent by you to me below clients also do not have any ob	e that this is in my full k er/email id in their KY0 respective instructions e on my above mobile r	nowledge and I have C's to which I have s bu they are well number/email id.	ve consented to the no objection. All conveyed by me o	e below clients whi the transactions in		
S.No.	Client Name	Trading Code	Demat A/c	Relation	Signature		
Thanking	r, in case of any further regulatory regulat		ake to abide upon	hearing from you			
()	Client Signature)						



	Transforming Knowledge into Wealth.							
DECLARATION BY HUF								
Wealth Discovery Securities Pvt. Ltd. Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001	Date :							
DP ID 1 2 0 7 6 4 0 0 Client ID								
As our HUF firm wishes to open an account with your DP in the said name we beg to say that the first signatory to this letter, Mr Karta of the Joint Family and other signatories are the adult co-parceners of the said fan	is the							
We further confirm that the business of the said joint family is carried on mainly by the the interest and for the benefit of the entire body of co-parceners of the joint family. We said family shall be recoverable personally from all or any of us and also for the entire Karta, including the share of minor co-parceners.	Ve all undertake that claims due to the DP from the							
In view of the fact that ours is not a firm governed by the Indian Partnership Act of 195 the said Act.	52, we have not got our said firm registered under							
We hereby undertake to inform the DP of the death or birth of a co-parcener of any cour joint family during the currency of the account.	change occurring at any time in the membership of							
Your's Sincerely								
Name & signature of Karta								

Name & Signature of Adult Co-parceners and date of birth of Minor Co-parceners (Use Annexure for Additional Members)

S.No.	Name	Sex	Relation with Karta	Date of Birth	Signature
1.					⊗
2.					⊗
3.					⊗
4.					⊗



FORMAT OF BOARD RESOLUTION TO BE GIVEN BY CORPORATE CLIENT (TO BE PRINTED ON LETTER HEAD OF COMPANY)

OF DIRECTORS OF		(Co. Name)	CONVENED ON
THE	(date) / TIME AT		
			(Venue)
"RESOLVED THAT an account for the purpose of LTD., Member - National Stock Exchange of India refered to as the Broker, for undertaking sale & purc	Ltd. / Bombay Stock Exchange Ltd.	d./Multi Commod	
FURTHER RESOLVED THAT Shri	an	d Shri	, Directors
of the Company, be and are hereby, authorised s agreements etc. as may be necessary for opening an			all documents papers, authorisations
FURTHER RESOLVED THAT the aforemention Company. for conducting trading in securities for an		authorised several	ly, to give instructions on behalf of the
FURTHER RESOLVED THAT, a copy of this re			
Shri			to honour the instructions of Shr , Directors of the Company
to carry on trading in Securities.			,
Certified true copy			
For (Name of the Company)		Dated :	
Director		Place :	
FORMAT OF DECLARATION TO BE	GIVEN BY PARTNERSHI	P FIRM ON LE	TTER HEAD OF THE FIRM
То,	_		
Wealth Discovery Securities Pvt. Lt			Date
Regd. & Corp. Office: 1206, Kailash Building, K.G. M. Connaught Place, New Delhi-110001	larg,		<u> </u>
Dear Sir,			
We refer to the trading account being opened / o and authorise you as under.	pened with you in the name of		and declare
We recognize that a beneficiary account cann per applicable law. To facilitate the operation of transfer obligations pursuant to the trad Nowith denames of the partnership of the firm.	the above trading account with ling operations, we authori	you and for the p	ourpose of completing the Securities
We agree that the obligations for Securities transfer to/from the above mentioned accouras complete discharge of obligations by you in res	nt. We recognize and accept pect of trades executed in the ab	transfers made love Securities trad	by you to the beneficiary accound ding account of the firm.
We hereby authorize agreements, deeds etc. as may be necessary to SECURITIES PVT. LTD., and to place order for business that may be necessary to engage in business in family accounts.	to enter into the agreement arouying and selling of Securities, se	nd engage in bus ell, purchase, trans	sfer, endorse, negotiate and do othe
Name of Partners (in blo	ock letters)		Signature

Wealth Discovery

Transforming Knowledge into Wealth.



	FOR OFFICE	E USE ONLY					
Branc	ch Code :	Group Code :					
Sub B	Branch :	Intro Code :					
Tele \	Verification :						
CKY	C Reference No.:	KYC Reference No.:					
I.	CHECKING DETAILS		REMARKS				
a)	Name as it appears on the ID & Address Proof (in capita	l letter)					
b)	Signature of Client on all pages and wherever necessary (Witness wherever required)					
c)	Signature Checked and Verified.						
d)	Photograph (duly signed)						
e)	A copy of PAN Card (Self Attested)						
f)	Address Proof (Self Attested)						
g)	Bank Proof containing Client Name (Self Attested)						
h)	Demat Account Proof (Self Attested)						
2.	Cross Checking done by TM	DP					
3.	Details Punched in Computer by TM	DP					
4.	UCC UPLOADED :						
	☐ NSE Cash ☐ NSE F&O ☐ NSE Currency						
	BSE Cash BSE F&O BSE Currency						
	MCX Commodity						
5.	Client Instruction Book issued by						
6.							
0.	Client Account Status Report issued by						
	DETAILS VERIFIED FROM UNSC LIST & SEBI DEBARRED ENTITY LIST						
	Signature						



WEALTH DISCOVERY SECURITIES PVT. LTD.

Regd. & Corp. Office: 1206, Kailash Building, K.G. Marg, Connaught Place, New Delhi-110001
Ph.: 011-43444666 Fax: 011-43444667

E-mail: mail@wealthdiscovery.in • Web: www.wealthdiscovery.in In case of any grievances please write to: investor@wealthdiscovery.in

SEBI Regn. No.: INZ000199032

DPID 12076400 • DP SEBI Regn. No. IN-DP-CDSL-679-2013

ACKI	A/I	ED	CEN	AEN	T-
ACRI	W L	ED	GEI	VII I	41

Wealth Discovery Securities Pvt. Ltd.

as the sole/first holder alongwith		
as the second		
allotted to you in all your		
· Stamp & Signature		